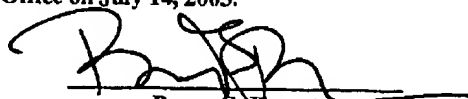


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Appl. No.: 09/547,409 (FINN-002)
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PATENT APPLICATIONCERTIFICATE OF FACSIMILE TRANSMISSION

Pursuant to 37 C.F.R. 1.8, I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on July 14, 2003.


Bruce G. Bernstein

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Gregory Finn et al.

Appl. No.: 09/547,409

Filed: April 12, 2000

For: INVESTMENT SYSTEM AND METHOD

Group Art Unit: 3628

Examiner: Clement B. Graham

Official

* * *

AMENDMENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450Sent Via Facsimile: (703) 872-9326

Sir:

In response to the Office Action mailed April 15, 2003, please amend the above-identified patent application as indicated below.

Amendments to the claims begin on page 2 of this paper.

Remarks begin on page 11 of this paper.

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JUL 15 2003

GROUP 3600